

09/807802

JC02 Rec'd PCT/PTO 17 APR 2001

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1420.00

Complete if Known

Application Number

Filing Date

Herewith

First Named Inventor

James M. Wilson et al

Examiner Name

Group Art Unit

Attorney Docket No.

GNVPN.031USA

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

08-3040

Deposit
Account
Name

Howson and Howson

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
101	710	201	355	Utility filing fee	860
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 860.00

2. EXTRA CLAIM FEES

Total Claims 16 -20** = 0 X 18 = 0
 Independent Claims 10 -3** = 7 X 80 = 560
 Multiple Dependent 270 = 0

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 560.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description	Fee Paid	
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Cathy A. Kodroff

Registration No.
(Attorney/Agent)

33,980

Complete (if applicable)

Telephone

(215) 540-9200

Signature

Cathy A. Kodroff

Date

4/16/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/06 (08-00)

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PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

GNVPN.031USA

CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

SMALL ENTITY

OR

OTHER THAN
SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE (37 CFR 1.16(a))		
TOTAL CLAIMS (37 CFR 1.16(c))	16 minus 20 = *	0
INDEPENDENT CLAIMS (37 CFR 1.16(b))	10 minus 3 = *	7
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))		

RATE	FEE
	\$ _____
x \$ _____ =	
x _____ =	
+ _____ =	
TOTAL	

OR

RATE	FEE
	\$ 860
x \$ 18 =	0
x 80 =	560
+ 270 =	0
TOTAL	1420

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR

OTHER THAN
SMALL ENTITY

AMENDMENT A		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total (37 CFR 1.16(c))	* 16	Minus	** 20	= 0
	Independent (37 CFR 1.16(b))	* 10	Minus	*** 10	= 0
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

RATE	ADDI- TIONAL FEE
x \$ _____ =	
x _____ =	
+ _____ =	
TOTAL	
ADDIT. FEE	

OR

RATE	ADDI- TIONAL FEE
x \$ 18 =	0
x 80 =	0
+ 270 =	0
TOTAL	0
ADDIT. FEE	

(Column 1)

(Column 2)

(Column 3)

TOTAL
ADDIT. FEE

OR

TOTAL
ADDIT. FEE

AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total (37 CFR 1.16(c))	* 25	Minus	** 20	= 5
	Independent (37 CFR 1.16(b))	* 10	Minus	*** 10	= 0
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

RATE	ADDI- TIONAL FEE
x \$ _____ =	
x _____ =	
+ _____ =	
TOTAL	
ADDIT. FEE	

OR

RATE	ADDI- TIONAL FEE
x \$ 18 =	90
x 80 =	0
+ 270 =	0
TOTAL	90
ADDIT. FEE	

(Column 1)

(Column 2)

(Column 3)

TOTAL
ADDIT. FEE

OR

TOTAL
ADDIT. FEE

AMENDMENT C		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total (37 CFR 1.16(c))	*	Minus	**	=
	Independent (37 CFR 1.16(b))	*	Minus	***	=
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))				

RATE	ADDI- TIONAL FEE
x \$ _____ =	
x _____ =	
+ _____ =	
TOTAL	
ADDIT. FEE	

OR

RATE	ADDI- TIONAL FEE
x \$ _____ =	
x _____ =	
+ _____ =	
TOTAL	
ADDIT. FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney's Docket No.: GNVPN.031USA

JC03 Rec'd PCT/PTO

17 APR 2001

TRANSMITTAL LETTER TO THE U.S. ELECTED OFFICE
(EO/US) - ENTRY INTO NATIONAL STAGE UNDER 35 USC 371

PCT/US99/25694

International Application No.

2 November 1999

International Filing Date

5 November 1998

Priority Date Claimed

ADENO-ASSOCIATED VIRUS SEROTYPE I NUCLEIC ACID SEQUENCES,
VECTORS AND HOST CELLS CONTAINING SAME

Title of Invention

James M. Wilson and Weidong Xiao

Applicant(s) for EO/US

Box PCT

Assistant Commissioner for Patents

Washington, DC 20231

Attn: EO/US

Sir:

Applicant herewith submits to the United States Elected Office
(EO/US) the following items under 35 USC 371:

- (1) This express request to immediately begin national examination procedures (35 USC 371(f)).
- (2) A copy of the cover sheet for the published International Application along with a copy of the specification as filed: 109 pages, including 5 pages of claims, 13 sheets of drawings, 59 pages of Sequence Listing, and a copy of the 4 pages International Search Report.
- (3) a copy of the 5 page Request form.
- (4) a first Preliminary Amendment for entry prior to calculation of the filing fees.
- (5) our check in the amount of \$1,420.00, covering the basic national fee as set forth in 37 CFR 1.492(a)(5) and based on the first Preliminary Amendment (16 total claims; 10 independent; and no multiple dependent).
- (6) A Second Preliminary Amendment.

Express Mail No.

ET03364824345

- (7) Our check in the amount of \$90.00, covering the extra claim fees after entry of the second Preliminary Amendment (25 total claims; 10 independent; and no multiple dependent).
- (8) Two (2) pages partially-executed Combined Declaration and Power of Attorney form by first inventor.
- (9) A 59 pages Sequence Listing (provided in specification).
- (10) A 3.5" computer-readable diskette.
- (11) A 1 page Statement under 37 CFR §1.821(f) and §1.825(a) and (b).

Copies of the following miscellaneous items are also enclosed:

- (12) Copy of the 3 page Demand for International Preliminary Examination.
- (13) Copy of the 10 page Written Opinion.
- (14) Copy of the 11 page International Preliminary Examination Report.

The Combined Declaration and Power of Attorney form of the second inventor will be filed by the appropriate deadline under 37 CFR §1.495(c)(2) with the surcharge under 37 CFR §1.492(e).

Please charge any additional fees which may be required to effect entry into the National Phase and credit any overpayment to Deposit Account No. 08-3040.

Please direct all communications concerning this application to the undersigned.

Respectfully submitted,
HOWSON AND HOWSON
Attorneys for the Applicants

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